Liquid Capital Statement for the month of 30-NOVEMBER-2023 M/s. FDM Capital Securities (Pvt.)Ltd

S.No.	Head of Account	Value in Pak		Net Adjusted Value
		Rupee	Adjustments	
1	Assets			
1.1	Property & Equipment	29,511,694	29,177,694	
1.2	Intangible Assets	3,500,000	3,500,000	
1.3	Investment in Govt. Securities (Difference between book value and sale value on the date on the basis of PKRV published by NIFT)			
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher. (Provided that if any of these securities are pledged with the securities exchange for base minimum capital requirement, 100% haircut on the value of eligible securities to the extent of minimum required value of Base minimum capital.	130,440,985	21,672,642	108,768,343
	ii. If unlisted, 100% of carrying value.	37,734,301	37,734,301	
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or			
	central depository or any other entity. (i) 100% of net value, however any excess amount of cash deposited with securities exchange to comply with requirenments of base	4,000,000	4,000,000	
1.9	minimum capital may be taken in the calculation of LC Margin deposits with exchange and clearing house.	12,490,879	0	12,490,879
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	12,490,679	0	12,490,875
1.11	Other deposits and prepayments			
1.12	Accrued interest, profit or mark- up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	Advances and receivables other than trade Receiveables;			
	(i) No haircut may be applied on the short term loan to employees provided these loans are secured and due for repayments within 12 months.	1,300,952	1,300,952	
	(ii) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.	10,225,014	10,225,014	
	(iii) In all other cases 100% of net value			
1.16	Receivables from clearing house or securities exchange(s)			
	100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains (Lower of net balance sheet value or value determined through adjustments)	59,921		59,921
1.17	Receivables from customers			
	 In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut. Lower of net balance sheet value or value determined through adjustments. 			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into			
	contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	107,071,648	0	107,071,648
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts	23,152,492	22,168,997	22,168,997
	vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts.			
	(b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts whichever is higher. (c) above 90 days 100% haircut shall be applicable.			

Liquid Capital Statement for the month of 30-NOVEMBER-2023 M/s. FDM Capital Securities (Pvt.)Ltd

i. B ii. E iii. 0 1.19 Sub (i)N incl (ii) app	ash and Bank balances			
ii. E iii. 0 1.19 Sub (i)N incl (ii) app		44,806,311	0	44 000 211
1.19 Sub (i)N incl (ii) app	Bank Balance- proprietory accounts Bank balance- customer accounts		0	44,806,311
1.19 Sub (i)N incl (ii) app	Cash in hand	169,379,554		169,379,554
(i)N incl (ii) app		158,712	0	158,712
app	lbscription money against investment in IPO/ offer for sale (asset) No haircut may be applied in respect of amount paid as subscription money provided that shares have not been alloted or are not luded in the investments of securities broker.			
	In case of Investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% haircuts will be			
	plicable on the value of such securities.			
	i) In case of subscription in right shares where the shares have not yet been credited in CDS account, 15% or VAR based haircut			
	nichever is higher, will be applied on Right Shares.	F#2 022 444		464.004.265
	atal Assets abilities	573,832,464		464,904,365
	aduntes rade Payables			
	Payable to exchanges and clearing house			
	Payable against leveraged market products			
	Payable to customers	169 759 042	0	160 750 043
	rrayane to customers	168,758,942	0	168,758,942
	Statutory and regulatory dues			
	Accruals and other payables	70.000.600	0	70 000 600
		78,822,632	0	78,822,632
	Short- term borrowings			
	Current portion of subordinated loans	0	0	0
	Current portion of long term liabilities			
	Deferred Liabilities			
	. Provision for taxation			
	i. Other liabilities as per accounting principles and included in the financial statements			
	on- Current Liabilities			
	Long- Term financing			
	Other liabilities as per accounting principles and included in the financial statements			
	Staff retirement benefits			
	ote: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial			
ınst	stitution including amount due against finance leases. (b) Nill in all other cases			
2.4 Sub	abordinated Loans			
	100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	· · · · · · · · · · · · · · · · · · ·			
	Ivance against shares for Increase in Capital of Securities broker: 0% haircut may be allowed in respect of advance against shares if:			
	The existing authorized share capital allows the proposed enhanced share capital			
	Boad of Directors of the company has approved the increase in capital			
	Relevant Regulatory approvals have been obtained			
	There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid			
	capital have been completed.			
	Auditor is satisfied that such advance is against the increase of capital.			
	tal Liabilites			
	anking Liabilities Relating to:	247,581,574	0	247,581,574
		247,581,574	0	247,581,574
3.1 Cor	oncentration in Margin Financing	247,581,574	0	247,581,574
3.1 Cor The	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the	247,581,574	0	247,581,574
3.1 Con The agg		247,581,574	0	247,581,574
3.1 Cor The agg (Pro	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees.	247,581,574	0	247,581,574
3.1 Con The agg (Pro- fina	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin	247,581,574	0	247,581,574
3.1 Con The agg (Pro fina Not	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million)	247,581,574	0	247,581,574
3.1 Con The agg (Pro fina Not	the amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. The receivable from total financees exceed 10% of the gregate of amounts receivable from total financees. The receivable against margin again and the applicable where the aggregate amount of receivable against margin again goes not exceed Rs 5 million) The receivable against margin against margin agree amount shall be included in the ranking liabilities. The receivable against margin agree amount shall be included in the ranking liabilities.	247,581,574	0	247,581,574
3.1 Con The agg (Pro fina Not 3.2 Con The	ne amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) To rovide that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) To rovide that above prescribed in the ranking liabilities. To rovide that above prescribed in the ranking liabilities. To rovide that above prescribed in the ranking liabilities.	247,581,574	0	247,581,574
3.1 Con The agg (Pr fina Not 3.2 Con The (i) A	the amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To revised that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Concentration in securites lending and borrowing** Be amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and	247,581,574	0	247,581,574
3.1 Cor The agg (Pr fina Not 3.2 Cor The (i) 4	ne amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) To rovide that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) To rovide that above prescribed in the ranking liabilities. To rovide that above prescribed in the ranking liabilities. To rovide that above prescribed in the ranking liabilities.	247,581,574	0	247,581,574
3.1 Cor The agg (Pr fina Not 3.2 Cor The (i) / (iii)	ne amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. The provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The condy amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. The provided that above the ranking liabilities. The provided that above the ranking liabilities are amount shall be included in the ranking liabilities. The provided that a provided liabilities are amount to the ranking liabilities. The provided has a provided liabilities are amount shall be included in the ranking liabilities. The provided has a provided liabilities are amount shall be included in the ranking liabilities. The provided has a provided liabilities are amount shall be included in the ranking liabilities.	247,581,574	0	247,581,574
3.1 Cor The agg (Pr fina Not 3.2 Cor The (i) / (iii)	ne amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The rovided that above prescribed and borrowing are amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and in the rovided prescribed as margins exceed the 110% of the market value of shares borrowed by the control of each borrower from market value of shares borrowed shall be included in the ranking	247,581,574	0	247,581,574
3.1 Con The agg (Pr fina Not 3.2 Con The (i) / (iii) Not liab	ne amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The rovided that above prescribed and borrowing are amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and in the rovided prescribed as margins exceed the 110% of the market value of shares borrowed by the control of each borrower from market value of shares borrowed shall be included in the ranking	247,581,574	0	247,581,574
3.1 Con The agg (Pre fina Not 3.2 Con The (i) / (iii) Not liab	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Concentration in securites lending and borrowing** Be amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and 10) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed ones conly amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking billities)	247,581,574	0	247,581,574
3.1 Con The agg (Pre fina Not 3.2 Con The (i) / (iii) Not liab 3.3 Net (a) i	ne amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. The provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. The provided that above the ranking liabilities. The provided that above the ranking liabilities amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. The provided that above the ranking liabilities amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and by the market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one. Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities.)	247,581,574	0	247,581,574
3.1 Cor The agg (Pr fina Not The (i) / (ii) Not liab 3.3 Net (a) (i) (i) (i) (i) (i) (i) (i) (i) (i) (i	the amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The conditional properties of the control o	247,581,574	0	247,581,574
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3.1 Cor The agg (Pr (Pr fina Not 3.2 Cor The (i) / (iii) Not liab	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mocentration in securities lending and borrowing** a mount by which the aggregate of: Amount deposited by the borrower with NCCPL (li) Cash margins paid and jo The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed to the Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) **Et underwriting Commitments** In the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and of the value by which the underwriting commitments exceeds the market price of the securities. **The decision of the securities of the Haircut multiplied by the Underwriting Commitments of the securities is greater than the subscription price, 5% of the Haircut multiplied by the underwriting is greater than the subscription price, 5% of the Haircut multiplied by the underwriting is greater than the subscription price, 5% of the Haircut multiplied by the underwriting is greater than the subscription price, 5% of the Haircut multiplied by the underwriting is greater than the subscription price, 5% of the Haircut multiplied by the underwriting commitments is greater than the subscription price, 5% of the Haircut multiplied by the underwriting commitments is greater than the subscription price, 5% of the Haircut multiplied by the underwriting commitments is greater than the subscription price, 5% of the Haircut multiplied by the underwriting commi	247,581,574	0	247,581,574
3.1 Cor The agg (Pr fina Not State S	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mocentration in securites lending and borrowing** The amount by which the aggregate of: Amount deposited by the borrower with NCCPL (li) Cash margins paid and to the market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) The market value of securities pledged as margins exceed the 110% of the shares borrowed shall be included in the ranking bilities) The underwriting Commitments The think of the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities. The case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the nuderwriting commitments.	247,581,574	0	247,581,574
3.1 Cor The agg (Prr fina Not In the the (b)	ea amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) The condy amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. The properties lending and borrowing The amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and the properties of the properties of the properties of the market value of shares borrowed one: The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities.) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities.) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities.) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities.) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities. The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities.	247,581,574	0	247,581,574
3.1 Cot The aggs (Pr final Not State of	ea amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Concentration in securities lending and borrowing** the amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and to the market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) **Et underwriting Commitments** In the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments exceeds the market price of the securities. The case of right issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by ent underwriting commitments of the any other case: 12.5% of the net underwriting commitments The price of the securities of th	247,581,574	0	247,581,574
3.1 Cor The agg (Pr fina Not St. Cor The (Pr fina Not St. Cor The (I) (I) (I) (II) (II) (II) (II) (II) (e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mocentration in securities lending and borrowing** a mount by which the aggregate of: Amount deposited by the borrower with NCCPL (li) Cash margins paid and jo The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed to the Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) **Et underwriting Commitments** In the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and of the value by which the underwriting commitments exceeds the market price of the securities. **the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments. **the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments. **the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments. **the case of rights issues** **the	247,581,574	0	247,581,574
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3.1 Cor The agg (Pr fina Not (i)) 3.2 Cor The (ii) Not liab 3.3 Net (a) i(i) (i) (ii) (ii) (ii) (ii) (ii) (e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mocentration in securities lending and borrowing** a mount by which the aggregate of: Amount deposited by the borrower with NCCPL (li) Cash margins paid and jo The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed to the Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) **Et underwriting Commitments** In the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and of the value by which the underwriting commitments exceeds the market price of the securities. **the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments. **the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments. **the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments. **the case of rights issues** **the	247,581,574	0	247,581,574
3.1 Cor The agg (Pr fina Not St. St. St. St. St. St. St. St. St. St	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. In the case of right issues: if the market value of search and to the ranking bilities) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) The underwriting Commitments In the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments exceeds the market price of the securities. The case of right issues where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the tunderwriting commitments The case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the tunderwriting commitments The case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the tunderwriting commitments The case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the tunderwriting commitments The case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the tunderwriting commitments The case of rights issues where the market price of securities is greater than the subscription price, 5% of the Hai	247,581,574		247,581,574
3.1 Cor The agg (Pr fina Not In the Line I	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mount deposited by the borrower with NCCPL (Ii) Cash margins paid and in the parket value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) ***Hunderwriting Commitments** In the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and in the value by which the underwriting commitments exceeds the market price of the securities. The case of right issues where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the underwriting commitments and in any other case: 12.5% of the net underwriting commitments is greater than the subscription price, 5% of the Haircut multiplied by a net underwriting commitments **July 10 of 10	247,581,574		247,581,574
3.1 Cor The agg (Pr fina Not Cor The (i) / (ii) Not liab 3.3 Net (a) (i) (i) (i) (ii) (ii) (ii) (ii) (ii	ea amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To receivable from total financees and only the prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Concentration in securites lending and borrowing** the amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and to 10 market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) ***Et underwriting Commitments** In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments exceeds the market price of the securities. The case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the value by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary or subsidiary or subsidiary or subsidiary (excluding any amount by which the total assets of the subsidiary (excluding any amount by which the total liabilities of the subsidiary) exceed the total liabilities of the subsidiary or subsidiary or prices total liabilities of the subsidiary or subsidiary or prices total liabilities of the subsidiary or subsidiary or prices total liabilities denominated in foreign currency means the difference of total assets denominated in foreign currency adjustment	247,581,574		247,581,574
3.1 Cor The age (Pr fina Not The Grant Not	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mount deposited by the borrower with NCCPL (Ii) Cash margins paid and in the ranking by the borrower with NCCPL (Ii) Cash margins paid and in the ranking bilities) **Mount deposited by the borrower with NCCPL (Ii) Cash margins paid and in the ranking bilities) **In the market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking bilities) **In the case of right issues: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and in the case of rights issues where the market price of securities is greater than the subscription price; the aggregate of: the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by a net underwriting commitments **July and the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by a net underwriting commitments **July and the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by a net underwriting commitments **July and the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by a net underwriting commitments **July and any	247,581,574		247,581,574
3.1 Cor The age (Pr fina Not In the Line Line Line Line Line Line Line Lin	ea amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees, rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Concentration in securites lending and borrowing** the amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities) **Et underwriting Commitments** In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments secreds the market price of the securities. **the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The c	247,581,574		247,581,574
3.1 Cor The agg (Pr fina Not Cor The (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To cover the second of a discovery and the second of the gregate of amounts receivable from total financees. The cover of a discovery amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mount deposited by the borrower with NCCPL (Ii) Cash margins paid and the mount deposited by the borrower with NCCPL (Ii) Cash margins paid and the mount deposited by the borrower with NCCPL (Ii) Cash margins paid and the control of the market value of schares borrowed to the control of the market value of shares borrowed to the control of the market value of shares borrowed to the control of the market value of shares borrowed shall be included in the ranking bilities) **Endowment of the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments exceeds the market price of the securities. **The case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the ent underwriting commitments in in any other case: 12.5% of the net underwriting commitments in any other case: 12.5% of the net underwriting commitments **Particle of the subsidiary** **The analysis of th	247,581,574		247,581,574
3.1 Cor The agg (Pr fina Not Cor The (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	ea amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees, rovided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin ancing does not exceed Rs 5 million) the Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Concentration in securites lending and borrowing** the amount by which the aggregate of: Amount deposited by the borrower with NCCPL (Ii) Cash margins paid and The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed one: The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed shall be included in the ranking bilities) **Et underwriting Commitments** In the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments secreds the market price of the securities. **the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments The c	247,581,574		247,581,574
3.1 Cor The agg (Pr fina Not The fina Not Th	e amount calculated client- to- client basis by which any amount receivable from any of the financees exceed 10% of the gregate of amounts receivable from total financees. To cover the second of a discovery and the second of the gregate of amounts receivable from total financees. The cover of a discovery amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. **Mount deposited by the borrower with NCCPL (Ii) Cash margins paid and the mount deposited by the borrower with NCCPL (Ii) Cash margins paid and the mount deposited by the borrower with NCCPL (Ii) Cash margins paid and the control of the market value of schares borrowed to the control of the market value of shares borrowed to the control of the market value of shares borrowed to the control of the market value of shares borrowed shall be included in the ranking bilities) **Endowment of the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: the 50% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments exceeds the market price of the securities. **The case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the ent underwriting commitments in in any other case: 12.5% of the net underwriting commitments in any other case: 12.5% of the net underwriting commitments **Particle of the subsidiary** **The analysis of th	247,581,574		247,581,574

Liquid Capital Statement for the month of 30-NOVEMBER-2023 M/s. FDM Capital Securities (Pvt.)Ltd

	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	31,439,658	31,439,658
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	0	0	0
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0		31,439,658